

# Investment Company Report

## AUSNET SERVICES LTD

Security	Q0708Q109	Meeting Type	Annual General Meeting
Ticker Symbol		Meeting Date	20-Jul-2017
ISIN	AU000000AST5	Agenda	708307764 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
CMMT	VOTING EXCLUSIONS APPLY TO THIS MEETING FOR PROPOSALS 3, 4 AND VOTES CAST BY-ANY INDIVIDUAL OR RELATED PARTY WHO BENEFIT FROM THE PASSING OF THE-PROPOSAL/S WILL BE DISREGARDED BY THE COMPANY. HENCE, IF YOU HAVE OBTAINED-BENEFIT OR EXPECT TO OBTAIN FUTURE BENEFIT (AS REFERRED IN THE COMPANY-ANNOUNCEMENT) VOTE ABSTAIN ON THE RELEVANT PROPOSAL ITEMS. BY DOING SO, YOU-ACKNOWLEDGE THAT YOU HAVE OBTAINED BENEFIT OR EXPECT TO OBTAIN BENEFIT BY THE-PASSING OF THE RELEVANT PROPOSAL/S. BY VOTING (FOR OR AGAINST) ON THE ABOVE-MENTIONED PROPOSAL/S, YOU ACKNOWLEDGE THAT YOU HAVE NOT OBTAINED BENEFIT-NEITHER EXPECT TO OBTAIN BENEFIT BY THE PASSING OF THE RELEVANT PROPOSAL/S-AND YOU COMPLY WITH THE VOTING EXCLUSION	Non-Voting		
CMMT	IF A PROPORTIONAL TAKEOVER BID IS MADE FOR THE COMPANY, A SHARE TRANSFER TO-THE OFFEROR CANNOT BE REGISTERED UNTIL THE BID IS APPROVED BY MEMBERS NOT-ASSOCIATED WITH THE BIDDER. THE RESOLUTION MUST BE CONSIDERED AT A MEETING-HELD MORE THAN 14 DAYS BEFORE THE BID CLOSES. EACH MEMBER HAS ONE VOTE FOR-EACH FULLY PAID SHARE HELD. THE VOTE IS DECIDED ON A SIMPLE MAJORITY. THE-BIDDER AND ITS ASSOCIATES ARE NOT ALLOWED TO VOTE	Non-Voting		
2.A	ELECTION OF DR NORA SCHEINKESTEL	Management	For	For
2.B	RE-ELECTION OF DR RALPH CRAVEN	Management	For	For
2.C	RE-ELECTION OF MS SALLY FARRIER	Management	For	For
2.D	RE-ELECTION OF MR SUN JIANXING	Management	For	For
3	REMUNERATION REPORT	Management	For	For
4	GRANT OF EQUITY AWARDS TO THE MANAGING DIRECTOR	Management	For	For
5	ISSUE OF SHARES - UP TO 10% PRO RATA	Management	For	For
6	ISSUE OF SHARES PURSUANT TO DIVIDEND REINVESTMENT PLAN	Management	For	For
7	ISSUE OF SHARES PURSUANT TO AN EMPLOYEE INCENTIVE SCHEME	Management	For	For

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8 RENEWAL OF PROPORTIONAL TAKEOVER PROVISION Management For For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
PI3F0600002	PI3F0600002	PGD - CIBC	BNY MELLON	120,000	0	19-Jun-2017	14-Jul-2017

## Investment Company Report

### JOHNSON MATTHEY PLC

Security	G51604166	Meeting Type	Annual General Meeting
Ticker Symbol		Meeting Date	28-Jul-2017
ISIN	GB00BZ4BQC70	Agenda	708313236 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1	TO RECEIVE THE COMPANY'S ACCOUNTS FOR THE YEAR ENDED 31ST MARCH 2017	Management	For	For
2	TO APPROVE THE DIRECTORS' REMUNERATION REPORT, OTHER THAN THE PART CONTAINING THE DIRECTORS' REMUNERATION POLICY, FOR THE YEAR ENDED 31ST MARCH 2017	Management	For	For
3	TO APPROVE THE DIRECTORS' REMUNERATION POLICY	Management	For	For
4	TO DECLARE A FINAL DIVIDEND OF 54.5 PENCE PER SHARE ON THE ORDINARY SHARES	Management	For	For
5	TO ELECT MRS AO MANZ AS A DIRECTOR OF THE COMPANY	Management	For	For
6	TO ELECT DR JV GRIFFITHS AS A DIRECTOR OF THE COMPANY	Management	For	For
7	TO RE-ELECT MR TEP STEVENSON AS A DIRECTOR OF THE COMPANY	Management	For	For
8	TO RE-ELECT MS O DESFORGES AS A DIRECTOR OF THE COMPANY	Management	For	For
9	TO RE-ELECT MR AM FERGUSON AS A DIRECTOR OF THE COMPANY	Management	For	For
10	TO RE-ELECT MR RJ MACLEOD AS A DIRECTOR OF THE COMPANY	Management	For	For
11	TO RE-ELECT MR CS MATTHEWS AS A DIRECTOR OF THE COMPANY	Management	For	For
12	TO RE-ELECT MR CJ MOTTERSHEAD AS A DIRECTOR OF THE COMPANY	Management	For	For
13	TO RE-ELECT MR JF WALKER AS A DIRECTOR OF THE COMPANY	Management	For	For
14	TO RE-APPOINT KPMG LLP AS AUDITOR FOR THE FORTHCOMING YEAR	Management	For	For
15	TO AUTHORISE THE AUDIT COMMITTEE OF THE BOARD TO DETERMINE THE REMUNERATION OF THE AUDITOR	Management	For	For
16	TO AUTHORISE THE COMPANY AND ITS SUBSIDIARIES TO MAKE POLITICAL DONATIONS AND INCUR POLITICAL EXPENDITURE WITHIN CERTAIN LIMITS	Management	For	For
17	TO AUTHORISE THE DIRECTORS TO ALLOT SHARES	Management	For	For
18	TO APPROVE THE RULES OF THE JOHNSON MATTHEY PERFORMANCE SHARE PLAN	Management	For	For

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19	TO APPROVE THE RULES OF THE JOHNSON MATTHEY RESTRICTED SHARE PLAN	Management	For	For
20	TO DISAPPLY THE STATUTORY PRE-EMPTION RIGHTS ATTACHING TO SHARES	Management	For	For
21	TO DISAPPLY THE STATUTORY PRE-EMPTION RIGHTS ATTACHING TO SHARES IN CONNECTION WITH AN ACQUISITION OR OTHER CAPITAL INVESTMENT	Management	For	For
22	TO AUTHORISE THE COMPANY TO MAKE MARKET PURCHASES OF ITS OWN SHARES	Management	For	For
23	TO AUTHORISE THE COMPANY TO CALL GENERAL MEETINGS OTHER THAN ANNUAL GENERAL MEETINGS ON NOT LESS THAN 14 CLEAR DAYS' NOTICE	Management	For	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
PI3F0600002	PI3F0600002	PGD - CIBC	BNY MELLON	3,200	0	22-Jun-2017	25-Jul-2017

## Investment Company Report

### FIFTH STREET SENIOR FLOATING RATE CORP.

Security	31679F101	Meeting Type	Special
Ticker Symbol	FSFR	Meeting Date	07-Sep-2017
ISIN	US31679F1012	Agenda	934665413 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1.	TO APPROVE A NEW INVESTMENT ADVISORY AGREEMENT BETWEEN THE COMPANY AND OAKTREE CAPITAL MANAGEMENT, L.P., TO TAKE EFFECT UPON THE ASSIGNMENT AND IMMEDIATE TERMINATION OF THE CURRENT INVESTMENT ADVISORY AGREEMENT BETWEEN THE COMPANY AND FIFTH STREET MANAGEMENT LLC IN CONNECTION WITH THE CLOSING OF THE ...(DUE TO SPACE LIMITS, SEE PROXY STATEMENT FOR FULL PROPOSAL).	Management	For	For
2.	DIRECTOR	Management		
	1 JOHN B. FRANK		For	For
	2 MARC H. GAMSIN		For	For
	3 CRAIG JACOBSON		For	For
	4 RICHARD G. RUBEN		For	For
	5 BRUCE ZIMMERMAN		For	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
B01PI3F0600002C	B01PI3F0600002 C	PGD - CIBC	BNY MELLON	12,100	0	17-Aug-2017	08-Sep-2017

## Investment Company Report

### DIAGEO PLC

Security	25243Q205	Meeting Type	Annual
Ticker Symbol	DEO	Meeting Date	20-Sep-2017
ISIN	US25243Q2057	Agenda	934668382 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1.	REPORT AND ACCOUNTS 2017.	Management	For	For
2.	DIRECTORS' REMUNERATION REPORT 2017.	Management	For	For
3.	DIRECTORS' REMUNERATION POLICY 2017.	Management	For	For
4.	DECLARATION OF FINAL DIVIDEND.	Management	For	For
5.	RE-ELECTION OF PB BRUZELIUS AS A DIRECTOR. (AUDIT, NOMINATION & REMUNERATION)	Management	For	For
6.	RE-ELECTION OF LORD DAVIES AS A DIRECTOR. (AUDIT, NOMINATION, REMUNERATION & CHAIRMAN OF COMMITTEE)	Management	For	For
7.	RE-ELECTION OF J FERRAN AS A DIRECTOR. (NOMINATION & CHAIRMAN OF COMMITTEE)	Management	For	For
8.	RE-ELECTION OF HO KWONPING AS A DIRECTOR. (AUDIT, NOMINATION & REMUNERATION)	Management	For	For
9.	RE-ELECTION OF BD HOLDEN AS A DIRECTOR. (AUDIT, NOMINATION & REMUNERATION)	Management	For	For
10.	RE-ELECTION OF NS MENDELSON AS A DIRECTOR. (AUDIT, NOMINATION & REMUNERATION)	Management	For	For
11.	RE-ELECTION OF IM MENEZES AS A DIRECTOR. (EXECUTIVE & CHAIRMAN OF COMMITTEE)	Management	For	For
12.	RE-ELECTION OF KA MIKELLS AS A DIRECTOR. (EXECUTIVE)	Management	For	For
13.	RE-ELECTION OF AJH STEWART AS A DIRECTOR. (AUDIT, CHAIRMAN OF COMMITTEE, NOMINATION & REMUNERATION)	Management	For	For
14.	RE-APPOINTMENT OF AUDITOR.	Management	For	For
15.	REMUNERATION OF AUDITOR.	Management	For	For
16.	AUTHORITY TO ALLOT SHARES.	Management	For	For
17.	DISAPPLICATION OF PRE-EMPTION RIGHTS.	Management	For	For
18.	AUTHORITY TO PURCHASE OWN ORDINARY SHARES.	Management	For	For
19.	AUTHORITY TO MAKE POLITICAL DONATIONS AND/OR TO INCUR POLITICAL EXPENDITURE IN THE EU.	Management	For	For
20.	ADOPTION OF THE DIAGEO PLC 2017 SHARE VALUE PLAN.	Management	For	For

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Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
B01PI3F0600002C	B01PI3F0600002 C	PGD - CIBC	BNY MELLON	200	0	17-Aug-2017	14-Sep-2017

## Investment Company Report

### BHP BILLITON PLC

Security	05545E209	Meeting Type	Annual
Ticker Symbol	BBL	Meeting Date	19-Oct-2017
ISIN	US05545E2090	Agenda	934683461 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1.	TO RECEIVE THE 2017 FINANCIAL STATEMENTS AND REPORTS FOR BHP	Management	For	For
2.	TO REAPPOINT KPMG LLP AS THE AUDITOR OF BHP BILLITON PLC	Management	For	For
3.	TO AUTHORISE THE RISK AND AUDIT COMMITTEE TO AGREE THE REMUNERATION OF THE AUDITOR OF BHP BILLITON PLC	Management	For	For
4.	TO APPROVE THE GENERAL AUTHORITY TO ISSUE SHARES IN BHP BILLITON PLC	Management	For	For
5.	TO APPROVE THE AUTHORITY TO ALLOT EQUITY SECURITIES IN BHP BILLITON PLC FOR CASH	Management	For	For
6.	TO APPROVE THE REPURCHASE OF SHARES IN BHP BILLITON PLC	Management	For	For
7.	TO APPROVE THE DIRECTORS' REMUNERATION POLICY	Management	For	For
8.	TO APPROVE THE 2017 REMUNERATION REPORT OTHER THAN THE PART CONTAINING THE DIRECTORS' REMUNERATION POLICY	Management	For	For
9.	TO APPROVE THE 2017 REMUNERATION REPORT	Management	For	For
10.	TO APPROVE LEAVING ENTITLEMENTS	Management	For	For
11.	TO APPROVE THE GRANT TO THE EXECUTIVE DIRECTOR	Management	For	For
12.	TO ELECT TERRY BOWEN AS A DIRECTOR OF BHP	Management	For	For
13.	TO ELECT JOHN MOGFORD AS A DIRECTOR OF BHP	Management	For	For
14.	TO RE-ELECT MALCOLM BROOMHEAD AS A DIRECTOR OF BHP	Management	For	For
15.	TO RE-ELECT ANITA FREW AS A DIRECTOR OF BHP	Management	For	For
16.	TO RE-ELECT CAROLYN HEWSON AS A DIRECTOR OF BHP	Management	For	For
17.	TO RE-ELECT ANDREW MACKENZIE AS A DIRECTOR OF BHP	Management	For	For
18.	TO RE-ELECT LINDSAY MAXSTED AS A DIRECTOR OF BHP	Management	For	For
19.	TO RE-ELECT WAYNE MURDY AS A DIRECTOR OF BHP	Management	For	For
20.	TO RE-ELECT SHRITI VADERA AS A DIRECTOR OF BHP	Management	For	For



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21.	TO RE-ELECT KEN MACKENZIE AS A DIRECTOR OF BHP	Management	For	For
22.	TO AMEND THE CONSTITUTION OF BHP BILLITON LIMITED	Shareholder	Against	For
23.	TO APPROVE MEMBER REQUEST ON PUBLIC POLICY ADVOCACY ON CLIMATE CHANGE AND ENERGY	Shareholder	Against	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
00047580386 ! 5RY0	PORTLAND GLOBAL DIVIDEND FUND	475-80386-26 (PGD - RBC)	RBC DOMINION SECURITIES INC	2,500	0	28-Sep-2017	13-Oct-2017
B01PI3F0600002C	B01PI3F0600002 C	PGD - CIBC	BNY MELLON	6,000	0	28-Sep-2017	13-Oct-2017

## Investment Company Report

### AMCOR LTD

Security	Q03080100	Meeting Type	Annual General Meeting
Ticker Symbol		Meeting Date	01-Nov-2017
ISIN	AU000000AMC4	Agenda	708559729 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
CMMT	VOTING EXCLUSIONS APPLY TO THIS MEETING FOR PROPOSALS 3 TO 5 AND VOTES CAST-BY ANY INDIVIDUAL OR RELATED PARTY WHO BENEFIT FROM THE PASSING OF THE-PROPOSAL/S WILL BE DISREGARDED BY THE COMPANY. HENCE, IF YOU HAVE OBTAINED-BENEFIT OR EXPECT TO OBTAIN FUTURE BENEFIT (AS REFERRED IN THE COMPANY-ANNOUNCEMENT) VOTE ABSTAIN ON THE RELEVANT PROPOSAL ITEMS. BY DOING SO, YOU-ACKNOWLEDGE THAT YOU HAVE OBTAINED BENEFIT OR EXPECT TO OBTAIN BENEFIT BY THE-PASSING OF THE RELEVANT PROPOSAL/S. BY VOTING (FOR OR AGAINST) ON THE ABOVE-MENTIONED PROPOSAL/S, YOU ACKNOWLEDGE THAT YOU HAVE NOT OBTAINED BENEFIT-NEITHER EXPECT TO OBTAIN BENEFIT BY THE PASSING OF THE RELEVANT PROPOSAL/S-AND YOU COMPLY WITH THE VOTING EXCLUSION	Non-Voting		
2.A	TO RE-ELECT AS A DIRECTOR MR PAUL BRASHER	Management	For	For
2.B	TO RE-ELECT AS A DIRECTOR MRS EVA CHENG	Management	For	For
2.C	TO ELECT AS A DIRECTOR MR TOM LONG	Management	For	For
3	GRANT OF OPTIONS AND PERFORMANCE SHARES TO MANAGING DIRECTOR (LONG TERM INCENTIVE PLAN)	Management	For	For
4	APPROVAL OF POTENTIAL TERMINATION BENEFITS	Management	For	For
5	ADOPTION OF REMUNERATION REPORT	Management	For	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
PI3F0600002	PI3F0600002	PGD - CIBC	BNY MELLON	14,000	0	28-Sep-2017	26-Oct-2017

# Investment Company Report

## ARYZTA AG, ZUERICH

Security	H0336B110	Meeting Type	Annual General Meeting
Ticker Symbol		Meeting Date	07-Dec-2017
ISIN	CH0043238366	Agenda	708750434 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1.1	APPROVAL OF THE ANNUAL REPORT 2017	Management	Abstain	Against
1.2	ADVISORY VOTE ON THE COMPENSATION REPORT 2017	Management	Abstain	Against
2.1	APPROPRIATION OF AVAILABLE EARNINGS 2017	Management	Abstain	Against
2.2	DISTRIBUTION OF A SCRIP DIVIDEND PAYABLE OUT OF CAPITAL CONTRIBUTION RESERVES : CHF 0.02	Management	Abstain	Against
3	DISCHARGE OF THE MEMBERS OF THE BOARD OF DIRECTORS	Management	Abstain	Against
4.1.1	RE-ELECTION OF GARY MCGANN AS MEMBER AND AS CHAIRMAN OF THE BOARD OF DIRECTORS	Management	Abstain	Against
4.1.2	RE-ELECTION OF CHARLES ADAIR AS MEMBER OF THE BOARD OF DIRECTORS	Management	Abstain	Against
4.1.3	RE-ELECTION OF DAN FLINTER AS MEMBER OF THE BOARD OF DIRECTORS	Management	Abstain	Against
4.1.4	RE-ELECTION OF ANNETTE FLYNN AS MEMBER OF THE BOARD OF DIRECTORS	Management	Abstain	Against
4.1.5	RE-ELECTION OF ANDREW MORGAN AS MEMBER OF THE BOARD OF DIRECTORS	Management	Abstain	Against
4.1.6	RE-ELECTION OF ROLF WATTER AS MEMBER OF THE BOARD OF DIRECTORS	Management	Abstain	Against
4.1.7	ELECTION OF KEVIN TOLAND AS MEMBER OF THE BOARD OF DIRECTORS	Management	Abstain	Against
4.1.8	ELECTION OF JAMES B. (JIM) LEIGHTON AS MEMBER OF THE BOARD OF DIRECTORS	Management	Abstain	Against
4.2.1	RE-ELECTION OF CHARLES ADAIR AS MEMBER OF THE REMUNERATION COMMITTEE	Management	Abstain	Against
4.2.2	RE-ELECTION OF GARY MCGANN AS MEMBER OF THE REMUNERATION COMMITTEE	Management	Abstain	Against
4.2.3	RE-ELECTION OF ROLF WATTER AS MEMBER OF THE REMUNERATION COMMITTEE	Management	Abstain	Against
4.3	RE-ELECTION OF THE STATUTORY AUDITORS: PRICEWATERHOUSECOOPERS AG, ZURICH	Management	Abstain	Against
4.4	ELECTION OF THE INDEPENDENT PROXY REPRESENTATIVE: PATRICK O'NEILL, ATTORNEY AT LAW, LANTER ATTORNEYS AT LAW, ZURICH	Management	Abstain	Against
5.1	REMUNERATION OF THE BOARD OF DIRECTORS UNTIL THE NEXT ANNUAL GENERAL MEETING	Management	Abstain	Against
5.2	REMUNERATION OF EXECUTIVE MANAGEMENT FOR THE NEXT FINANCIAL YEAR	Management	Abstain	Against

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6 RENEWAL OF AUTHORIZED CAPITAL Management Abstain Against

CMMT PART 2 OF THIS MEETING IS FOR VOTING ON AGENDA AND MEETING ATTENDANCE-REQUESTS ONLY. PLEASE ENSURE THAT YOU HAVE FIRST VOTED IN FAVOUR OF THE-REGISTRATION OF SHARES IN PART 1 OF THE MEETING. IT IS A MARKET REQUIREMENT-FOR MEETINGS OF THIS TYPE THAT THE SHARES ARE REGISTERED AND MOVED TO A-REGISTERED LOCATION AT THE CSD, AND SPECIFIC POLICIES AT THE INDIVIDUAL-SUB-CUSTODIANS MAY VARY. UPON RECEIPT OF THE VOTE INSTRUCTION, IT IS POSSIBLE-THAT A MARKER MAY BE PLACED ON YOUR SHARES TO ALLOW FOR RECONCILIATION AND-RE-REGISTRATION FOLLOWING A TRADE. THEREFORE WHILST THIS DOES NOT PREVENT THE-TRADING OF SHARES, ANY THAT ARE REGISTERED MUST BE FIRST DEREGISTERED IF-REQUIRED FOR SETTLEMENT. DEREGISTRATION CAN AFFECT THE VOTING RIGHTS OF THOSE-SHARES. IF YOU HAVE CONCERNS REGARDING YOUR ACCOUNTS, PLEASE CONTACT YOUR-CLIENT REPRESENTATIVE

Non-Voting

CMMT PLEASE NOTE THAT IF YOU HOLD CDI SHARES AND PARTICIPATE AT THIS MEETING, YOUR-GLOBAL CUSTODIAN WILL BE REQUIRED TO TRANSFER YOUR SHARES TO AN ESCROW-ACCOUNT. SHARES MAY BE BLOCKED DURING THIS TIME. IF THE VOTED POSITION IS NOT-TRANSFERRED TO THE REQUIRED ESCROW ACCOUNT IN CREST, THE SUBMITTED VOTE TO-BROADRIDGE WILL BE REJECTED BY THE REGISTRAR. BY VOTING ON THIS MEETING YOUR-CUSTODIAN MAY USE YOUR VOTE INSTRUCTION AS THE AUTHORIZATION TO TAKE THE-NECESSARY ACTION WHICH WILL INCLUDE TRANSFERRING YOUR INSTRUCTED POSITION TO-ESCROW. HOWEVER, THIS MAY DIFFER FROM CUSTODIAN TO CUSTODIAN. FOR FULL-UNDERSTANDING OF THE CUSTODY PROCESS AND WHETHER OR NOT THEY REQUIRE SEPARATE-INSTRUCTIONS FROM YOU, PLEASE CONTACT YOUR CUSTODIAN DIRECTLY.THANK YOU

Non-Voting

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
PI3F0600002	PI3F0600002	PGD - CIBC	BNY MELLON	5,000	0	16-Nov-2017	29-Nov-2017

## Investment Company Report

### WALGREENS BOOTS ALLIANCE, INC.

Security	931427108	Meeting Type	Annual
Ticker Symbol	WBA	Meeting Date	17-Jan-2018
ISIN	US9314271084	Agenda	934709037 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1A.	ELECTION OF DIRECTOR: JOSE E. ALMEIDA	Management	For	For
1B.	ELECTION OF DIRECTOR: JANICE M. BABIAK	Management	For	For
1C.	ELECTION OF DIRECTOR: DAVID J. BRAILER	Management	For	For
1D.	ELECTION OF DIRECTOR: WILLIAM C. FOOTE	Management	For	For
1E.	ELECTION OF DIRECTOR: GINGER L. GRAHAM	Management	For	For
1F.	ELECTION OF DIRECTOR: JOHN A. LEDERER	Management	For	For
1G.	ELECTION OF DIRECTOR: DOMINIC P. MURPHY	Management	For	For
1H.	ELECTION OF DIRECTOR: STEFANO PESSINA	Management	For	For
1I.	ELECTION OF DIRECTOR: LEONARD D. SCHAEFFER	Management	For	For
1J.	ELECTION OF DIRECTOR: NANCY M. SCHLICHTING	Management	For	For
1K.	ELECTION OF DIRECTOR: JAMES A. SKINNER	Management	For	For
2.	RATIFICATION OF THE APPOINTMENT OF DELOITTE & TOUCHE LLP AS THE INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR FISCAL YEAR 2018.	Management	For	For
3.	ADVISORY VOTE TO APPROVE NAMED EXECUTIVE OFFICER COMPENSATION.	Management	For	For
4.	ADVISORY VOTE ON THE FREQUENCY OF FUTURE ADVISORY VOTES ON NAMED EXECUTIVE OFFICER COMPENSATION.	Management	1 Year	For
5.	APPROVAL OF THE AMENDED AND RESTATED WALGREENS BOOTS ALLIANCE, INC. 2013 OMNIBUS INCENTIVE PLAN.	Management	For	For
6.	STOCKHOLDER PROPOSAL REGARDING THE OWNERSHIP THRESHOLD FOR CALLING SPECIAL MEETINGS OF STOCKHOLDERS.	Shareholder	Against	For
7.	STOCKHOLDER PROPOSAL REQUESTING PROXY ACCESS BY-LAW AMENDMENT.	Shareholder	Against	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
B01PI3F0600002C	B01PI3F0600002 C	PGD - CIBC	BNY MELLON	500	0	30-Nov-2017	18-Jan-2018

# Investment Company Report

## COMPASS GROUP PLC

Security	G23296208	Meeting Type	Annual General Meeting
Ticker Symbol		Meeting Date	08-Feb-2018
ISIN	GB00BD6K4575	Agenda	708871012 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
CMMT	PLEASE NOTE THAT THIS IS AN AMENDMENT TO MEETING ID 864483 DUE TO WITHDRAWAL-OF RESOLUTION 6. ALL VOTES RECEIVED ON THE PREVIOUS MEETING WILL BE-DISREGARDED AND YOU WILL NEED TO REINSTRUCT ON THIS MEETING NOTICE. THANK-YOU.	Non-Voting		
1	RECEIVE AND ADOPT THE DIRECTORS' ANNUAL REPORT AND ACCOUNTS AND THE AUDITOR'S REPORT THEREON	Management	For	For
2	RECEIVE AND ADOPT THE REMUNERATION POLICY	Management	For	For
3	RECEIVE AND ADOPT THE DIRECTORS' REMUNERATION REPORT	Management	For	For
4	DECLARE A FINAL DIVIDEND ON THE ORDINARY SHARES	Management	For	For
5	RE-ELECT DOMINIC BLAKEMORE AS A DIRECTOR	Management	For	For
6	RESOLUTION WITHDRAWN	Non-Voting		
7	RE-ELECT GARY GREEN AS A DIRECTOR	Management	For	For
8	RE-ELECT JOHNNY THOMSON AS A DIRECTOR	Management	For	For
9	RE-ELECT CAROL ARROWSMITH AS A DIRECTOR	Management	For	For
10	RE-ELECT JOHN BASON AS A DIRECTOR	Management	For	For
11	RE-ELECT STEFAN BOMHARD AS A DIRECTOR	Management	For	For
12	RE-ELECT DON ROBERT AS A DIRECTOR	Management	For	For
13	RE-ELECT NELSON SILVA AS A DIRECTOR	Management	For	For
14	RE-ELECT IREENA VITTAL AS A DIRECTOR	Management	For	For
15	RE-ELECT PAUL WALSH AS A DIRECTOR	Management	For	For
16	REAPPOINT KPMG LLP AS AUDITOR	Management	For	For
17	AUTHORISE THE AUDIT COMMITTEE TO AGREE THE AUDITOR'S REMUNERATION	Management	For	For
18	DONATIONS TO EU POLITICAL ORGANISATIONS	Management	For	For
19	ADOPT THE COMPASS GROUP PLC LONG TERM INCENTIVE PLAN 2018	Management	For	For
20	AUTHORITY TO ALLOT SHARES	Management	For	For
21	AUTHORITY TO ALLOT SHARES FOR CASH	Management	For	For
22	ADDITIONAL AUTHORITY TO ALLOT SHARES FOR CASH IN LIMITED CIRCUMSTANCES	Management	For	For

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23	AUTHORITY TO PURCHASE SHARES	Management	For	For
24	REDUCE GENERAL MEETING NOTICE PERIODS	Management	For	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
PI3F0600002	PI3F0600002	PGD - CIBC	BNY MELLON	7,000	0	04-Jan-2018	02-Feb-2018

# Investment Company Report

## NOVARTIS AG

Security	66987V109	Meeting Type	Annual
Ticker Symbol	NVS	Meeting Date	02-Mar-2018
ISIN	US66987V1098	Agenda	934724039 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1.	Approval of the Operating and Financial Review of Novartis AG, the Financial Statements of Novartis AG and the Group Consolidated Financial Statements for the 2017 Financial Year	Management	For	For
2.	Discharge from Liability of the Members of the Board of Directors and the Executive Committee	Management	For	For
3.	Appropriation of Available Earnings of Novartis AG as per Balance Sheet and Declaration of Dividend	Management	For	For
4.	Reduction of Share Capital	Management	For	For
5A.	Binding Vote on the maximum aggregate amount of Compensation for Members of the Board of Directors from the 2018 Annual General Meeting to the 2019 Annual General Meeting	Management	For	For
5B.	Binding Vote on the maximum aggregate amount of Compensation for Members of the Executive Committee for the next Financial Year, i.e. 2019	Management	For	For
5C.	Advisory Vote on the 2017 Compensation Report	Management	For	For
6A.	Re-election as Chairman of the Board of Director: Joerg Reinhardt, Ph.D.	Management	For	For
6B.	Re-election of Director: Nancy C. Andrews, M.D., Ph.D.	Management	For	For
6C.	Re-election of Director: Dimitri Azar, M.D.	Management	For	For
6D.	Re-election of Director: Ton Buechner	Management	For	For
6E.	Re-election of Director: Srikant Datar, Ph.D.	Management	For	For
6F.	Re-election of Director: Elizabeth Doherty	Management	For	For
6G.	Re-election of Director: Ann Fudge	Management	For	For
6H.	Re-election of Director: Frans van Houten	Management	For	For
6I.	Re-election of Director: Andreas von Planta, Ph.D.	Management	For	For
6J.	Re-election of Director: Charles L. Sawyers, M.D.	Management	For	For
6K.	Re-election of Director: Enrico Vanni, Ph.D.	Management	For	For
6L.	Re-election of Director: William T. Winters	Management	For	For
7A.	Re-election to the Compensation Committee: Srikant Datar, Ph.D.	Management	For	For
7B.	Re-election to the Compensation Committee: Ann Fudge	Management	For	For
7C.	Re-election to the Compensation Committee: Enrico Vanni, Ph.D.	Management	For	For



## Investment Company Report

7D.	Re-election to the Compensation Committee: William T. Winters	Management	For	For
8.	Re-election of the Statutory Auditor	Management	For	For
9.	Re-election of the Independent Proxy	Management	For	For
10.	General instructions in case of alternative motions under the agenda items published in the Notice of Annual General Meeting, and/or of motions relating to additional agenda items according to Article 700 paragraph 3 of the Swiss Code of Obligations Mark FOR on this Voting Instruction Card to vote according to the motions of the Board of Directors. Mark AGAINST to vote against any alternative /new motions. Mark ABSTAIN to abstain from voting.	Management	For	

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
00047580386 ! 5RY0	PORTLAND GLOBAL DIVIDEND FUND	475-80386-26 (PGD - RBC)	RBC DOMINION SECURITIES INC	1,000	0	31-Jan-2018	27-Feb-2018
B01PI3F0600002C	B01PI3F0600002 C	PGD - CIBC	BNY MELLON	500	0	31-Jan-2018	27-Feb-2018

# Investment Company Report

## THE WALT DISNEY COMPANY

Security	254687106	Meeting Type	Annual
Ticker Symbol	DIS	Meeting Date	08-Mar-2018
ISIN	US2546871060	Agenda	934720598 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1A.	Election of director: Susan E. Arnold	Management	For	For
1B.	Election of director: Mary T. Barra	Management	For	For
1C.	Election of director: Safra A. Catz	Management	For	For
1D.	Election of director: John S. Chen	Management	For	For
1E.	Election of director: Francis A. deSouza	Management	For	For
1F.	Election of director: Robert A. Iger	Management	For	For
1G.	Election of director: Maria Elena Lagomasino	Management	For	For
1H.	Election of director: Fred H. Langhammer	Management	For	For
1I.	Election of director: Aylwin B. Lewis	Management	For	For
1J.	Election of director: Mark G. Parker	Management	For	For
2.	To ratify the appointment of PricewaterhouseCoopers LLP as the Company's registered public accountants for 2018.	Management	For	For
3.	To approve material terms of performance goals under the Amended and Restated 2002 Executive Performance Plan.	Management	For	For
4.	To approve the advisory resolution on executive compensation.	Management	For	For
5.	To approve the shareholder proposal requesting an annual report disclosing information regarding the Company's lobbying policies and activities.	Shareholder	Against	For
6.	To approve the shareholder proposal requesting the Board to amend the Company's bylaws relating to proxy access to increase the number of permitted nominees, remove the limit on aggregating shares to meet the shareholding requirement, and remove the limitation on renomination of persons based on votes in a prior election.	Shareholder	Against	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
B01PI3F0600002C	B01PI3F0600002C	PGD - CIBC	BNY MELLON	200	0	22-Jan-2018	09-Mar-2018

# Investment Company Report

## OAKTREE STRATEGIC INCOME CORPORATION

Security	67402D104	Meeting Type	Annual
Ticker Symbol	OCSI	Meeting Date	06-Apr-2018
ISIN	US67402D1046	Agenda	934728897 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 Marc H. Gamsin		For	For
	2 Craig Jacobson		For	For
2.	To ratify the appointment of Ernst & Young LLP as the Company's Independent Registered Public Accounting Firm for the fiscal year ending September 30, 2018.	Management	For	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
B01PI3F0600002C	B01PI3F0600002C	PGD - CIBC	BNY MELLON	12,100	0	16-Feb-2018	09-Apr-2018

## Investment Company Report

### LVMH MOET HENNESSY LOUIS VUITTON SE, PARIS

Security	F58485115	Meeting Type	MIX
Ticker Symbol		Meeting Date	12-Apr-2018
ISIN	FR0000121014	Agenda	709018116 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
CMMT	THE FOLLOWING APPLIES TO SHAREHOLDERS THAT DO NOT HOLD SHARES DIRECTLY WITH A-FRENCH CUSTODIAN: PROXY CARDS: VOTING INSTRUCTIONS WILL BE FORWARDED TO THE-GLOBAL CUSTODIANS ON THE VOTE DEADLINE DATE. IN CAPACITY AS REGISTERED-INTERMEDIARY, THE GLOBAL CUSTODIANS WILL SIGN THE PROXY CARDS AND FORWARD-THEM TO THE LOCAL CUSTODIAN. IF YOU REQUEST MORE INFORMATION, PLEASE CONTACT-YOUR CLIENT REPRESENTATIVE	Non-Voting		
CMMT	IN CASE AMENDMENTS OR NEW RESOLUTIONS ARE PRESENTED DURING THE MEETING, YOUR-VOTE WILL DEFAULT TO 'ABSTAIN'. SHARES CAN ALTERNATIVELY BE PASSED TO THE-CHAIRMAN OR A NAMED THIRD PARTY TO VOTE ON ANY SUCH ITEM RAISED. SHOULD YOU-WISH TO PASS CONTROL OF YOUR SHARES IN THIS WAY, PLEASE CONTACT YOUR-BROADRIDGE CLIENT SERVICE REPRESENTATIVE. THANK YOU	Non-Voting		
O.1	APPROVAL OF CORPORATE FINANCIAL STATEMENTS FOR THE FINANCIAL YEAR ENDED 31 DECEMBER 2017	Management	For	For
O.2	APPROVAL OF CONSOLIDATED FINANCIAL STATEMENTS FOR THE FINANCIAL YEAR ENDED 31 DECEMBER 2017	Management	For	For
O.3	ALLOCATION OF INCOME - SETTING OF THE DIVIDEND	Management	For	For
O.4	APPROVAL OF THE REGULATED AGREEMENTS AND COMMITMENTS	Management	For	For
O.5	RENEWAL OF THE TERM OF OFFICE OF MR. ANTOINE ARNAULT AS DIRECTOR	Management	For	For
O.6	RENEWAL OF THE TERM OF OFFICE OF MR. NICOLAS BAZIRE AS DIRECTOR	Management	For	For
O.7	RENEWAL OF THE TERM OF OFFICE OF MR. CHARLES DE CROISSET AS DIRECTOR	Management	For	For
O.8	RENEWAL OF THE TERM OF OFFICE OF LORD POWELL OF BAYSWATER AS DIRECTOR	Management	For	For
O.9	RENEWAL OF THE TERM OF OFFICE OF MR. YVES-THIBAUT DE SILGUY AS DIRECTOR	Management	For	For

## Investment Company Report

O.10	APPROVAL OF THE COMPENSATION ELEMENTS PAID OR AWARDED FOR THE FINANCIAL YEAR ENDED 31 DECEMBER 2017 TO THE CHAIRMAN AND CHIEF EXECUTIVE OFFICER, MR. BERNARD ARNAULT	Management	For	For
O.11	APPROVAL OF THE COMPENSATION ELEMENTS PAID OR AWARDED FOR THE FINANCIAL YEAR ENDED 31 DECEMBER 2017 TO THE DEPUTY CHIEF EXECUTIVE OFFICER, MR. ANTONIO BELLONI	Management	For	For
O.12	APPROVAL OF THE COMPENSATION POLICY ELEMENTS OF EXECUTIVE CORPORATE OFFICERS	Management	For	For
O.13	AUTHORIZATION TO BE GRANTED TO THE BOARD OF DIRECTORS, FOR A PERIOD OF 18 MONTHS, TO TRADE IN THE COMPANY'S SHARES FOR A MAXIMUM PURCHASE PRICE OF EUR 400 PER SHARE; THAT IS, A MAXIMUM CUMULATIVE AMOUNT OF 20.2 BILLION EUROS	Management	For	For
E.14	AUTHORIZATION TO BE GRANTED TO THE BOARD OF DIRECTORS, FOR A PERIOD OF 18 MONTHS, TO REDUCE THE SHARE CAPITAL BY CANCELLATION OF SHARES HELD BY THE COMPANY FOLLOWING THE BUYBACK OF ITS OWN SHARES	Management	For	For
E.15	AUTHORIZATION TO BE GRANTED TO THE BOARD OF DIRECTORS, FOR A PERIOD OF 26 MONTHS, TO ALLOT FREE SHARES TO BE ISSUED, WITH CANCELLATION OF SHAREHOLDERS' PRE-EMPTIVE SUBSCRIPTION RIGHT, OR EXISTING SHARES FOR THE BENEFIT OF EMPLOYEES AND/OR EXECUTIVE CORPORATE OFFICERS OF THE COMPANY AND RELATED ENTITIES WITHIN THE LIMIT OF 1% OF THE CAPITAL	Management	For	For
E.16	STATUTORY AMENDMENTS	Management	For	For
CMMT	21 MAR 2018: PLEASE NOTE THAT IMPORTANT ADDITIONAL MEETING INFORMATION IS-AVAILABLE BY CLICKING ON THE MATERIAL URL LINK:- <a href="https://www.journal-officiel.gouv.fr/publications/balo/pdf/2018/0305/201803051-800444.pdf">https://www.journal-officiel.gouv.fr/publications/balo/pdf/2018/0305/201803051-800444.pdf</a> AND- <a href="https://www.journal-officiel.gouv.fr/publications/balo/pdf/2018/0321/201803211-800700.pdf">https://www.journal-officiel.gouv.fr/publications/balo/pdf/2018/0321/201803211-800700.pdf</a> . PLEASE NOTE THAT THIS IS A REVISION DUE TO ADDITION OF THE URL-LINK. IF YOU HAVE ALREADY SENT IN YOUR VOTES, PLEASE DO NOT VOTE AGAIN UNLESS-YOU DECIDE TO AMEND YOUR ORIGINAL INSTRUCTIONS. THANK YOU	Non-Voting		

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
PI3F0600002	PI3F0600002	PGD - CIBC	BNY MELLON	500	0	06-Mar-2018	05-Apr-2018

## NESTLE SA, CHAM UND VEVEY

Security	H57312649	Meeting Type	Annual General Meeting
Ticker Symbol		Meeting Date	12-Apr-2018
ISIN	CH0038863350	Agenda	709055582 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
CMMT	PART 2 OF THIS MEETING IS FOR VOTING ON AGENDA AND MEETING ATTENDANCE-REQUESTS ONLY. PLEASE ENSURE THAT YOU HAVE FIRST VOTED IN FAVOUR OF THE-REGISTRATION OF SHARES IN PART 1 OF THE MEETING. IT IS A MARKET REQUIREMENT-FOR MEETINGS OF THIS TYPE THAT THE SHARES ARE REGISTERED AND MOVED TO A-REGISTERED LOCATION AT THE CSD, AND SPECIFIC POLICIES AT THE INDIVIDUAL-SUB-CUSTODIANS MAY VARY. UPON RECEIPT OF THE VOTE INSTRUCTION, IT IS POSSIBLE-THAT A MARKER MAY BE PLACED ON YOUR SHARES TO ALLOW FOR RECONCILIATION AND-RE-REGISTRATION FOLLOWING A TRADE. THEREFORE WHILST THIS DOES NOT PREVENT THE-TRADING OF SHARES, ANY THAT ARE REGISTERED MUST BE FIRST DEREGISTERED IF-REQUIRED FOR SETTLEMENT. DEREGISTRATION CAN AFFECT THE VOTING RIGHTS OF THOSE-SHARES. IF YOU HAVE CONCERNS REGARDING YOUR ACCOUNTS, PLEASE CONTACT YOUR-CLIENT REPRESENTATIVE	Non-Voting		
1.1	APPROVAL OF THE ANNUAL REVIEW, THE FINANCIAL STATEMENTS OF NESTLE S.A. AND THE CONSOLIDATED FINANCIAL STATEMENTS OF THE NESTLE GROUP FOR 2017	Management		
1.2	ACCEPTANCE OF THE COMPENSATION REPORT 2017 (ADVISORY VOTE)	Management		
2	DISCHARGE TO THE MEMBERS OF THE BOARD OF DIRECTORS AND OF THE MANAGEMENT	Management		
3	APPROPRIATION OF PROFIT RESULTING FROM THE BALANCE SHEET OF NESTLE S.A. (PROPOSED DIVIDEND) FOR THE FINANCIAL YEAR 2017	Management		
4.1.1	RE-ELECTION AS MEMBER AND CHAIRMAN OF THE BOARD OF DIRECTORS: MR PAUL BULCKE	Management		
4.1.2	RE-ELECTION AS MEMBER OF THE BOARD OF DIRECTORS: MR ULF MARK SCHNEIDER	Management		
4.1.3	RE-ELECTION AS MEMBER OF THE BOARD OF DIRECTORS: MR HENRI DE CASTRIES	Management		
4.1.4	RE-ELECTION AS MEMBER OF THE BOARD OF DIRECTORS: MR BEAT W. HESS	Management		
4.1.5	RE-ELECTION AS MEMBER OF THE BOARD OF DIRECTORS: MR RENATO FASSBIND	Management		

## Investment Company Report

4.1.6	RE-ELECTION AS MEMBER OF THE BOARD OF DIRECTORS: MR JEAN-PIERRE ROTH	Management
4.1.7	RE-ELECTION AS MEMBER OF THE BOARD OF DIRECTORS: MS ANN M. VENEMAN	Management
4.1.8	RE-ELECTION AS MEMBER OF THE BOARD OF DIRECTORS: MS EVA CHENG	Management
4.1.9	RE-ELECTION AS MEMBER OF THE BOARD OF DIRECTORS: MS RUTH K. ONIANG'O	Management
4.110	RE-ELECTION AS MEMBER OF THE BOARD OF DIRECTORS: MR PATRICK AEBISCHER	Management
4.111	RE-ELECTION AS MEMBER OF THE BOARD OF DIRECTORS: MS URSULA M. BURNS	Management
4.2.1	ELECTION TO THE BOARD OF DIRECTORS: MR KASPER RORSTED	Management
4.2.2	ELECTION TO THE BOARD OF DIRECTORS: MR PABLO ISLA	Management
4.2.3	ELECTION TO THE BOARD OF DIRECTORS: MS KIMBERLY A. ROSS	Management
4.3.1	ELECTION AS MEMBER OF THE COMPENSATION COMMITTEE: MR BEAT W. HESS	Management
4.3.2	ELECTION AS MEMBER OF THE COMPENSATION COMMITTEE: MR JEAN-PIERRE ROTH	Management
4.3.3	ELECTION AS MEMBER OF THE COMPENSATION COMMITTEE: MR PATRICK AEBISCHER	Management
4.3.4	ELECTION AS MEMBER OF THE COMPENSATION COMMITTEE: MS URSULA M. BURNS	Management
4.4	ELECTION OF THE STATUTORY AUDITORS: KPMG SA, GENEVA BRANCH	Management
4.5	ELECTION OF THE INDEPENDENT REPRESENTATIVE: HARTMANN DREYER, ATTORNEYS-AT-LAW	Management
5.1	APPROVAL OF THE COMPENSATION OF THE BOARD OF DIRECTORS	Management
5.2	APPROVAL OF THE COMPENSATION OF THE EXECUTIVE BOARD	Management
6	CAPITAL REDUCTION (BY CANCELLATION OF SHARES)	Management
7	IN THE EVENT OF ANY YET UNKNOWN NEW OR MODIFIED PROPOSAL BY A SHAREHOLDER DURING THE GENERAL MEETING, I INSTRUCT THE INDEPENDENT REPRESENTATIVE TO VOTE AS FOLLOWS: (YES = VOTE IN FAVOUR OF ANY SUCH YET UNKNOWN PROPOSAL, NO = VOTE AGAINST ANY SUCH YET UNKNOWN PROPOSAL, ABSTAIN = ABSTAIN FROM VOTING) - THE BOARD OF DIRECTORS RECOMMENDS TO VOTE NO ON ANY SUCH YET UNKNOWN PROPOSAL	Shareholder

# Investment Company Report

CMMT PLEASE FIND BELOW THE LINK FOR NESTLE IN Non-Voting  
SOCIETY CREATING SHARED VALUE AND-MEETING  
OUR COMMITMENTS 2017:-  
[HTTPS://WWW.NESTLE.COM/ASSET-  
LIBRARY/DOCUMENTS/LIBRARY/DOCUMENTS/CORP  
ORATE\\_SOC-IAL\\_RESPONSIBILITY/NESTLE-IN-  
SOCIETY-SUMMARY-REPORT-2017-EN.PDF](https://www.nestle.com/asset-library/documents/library/documents/corporate-social-responsibility/nestle-in-society-summary-report-2017-en.pdf)

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
PI3F0600002	PI3F0600002	PGD - CIBC	BNY MELLON	1,000	0		



# Investment Company Report

GEA GROUP AG, BOCHUM

Security	D28304109	Meeting Type	Annual General Meeting
Ticker Symbol		Meeting Date	19-Apr-2018
ISIN	DE0006602006	Agenda	709134251 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
CMMT	<p>ACCORDING TO GERMAN LAW, IN CASE OF SPECIFIC CONFLICTS OF INTEREST IN-CONNECTION WITH SPECIFIC ITEMS OF THE AGENDA FOR THE GENERAL MEETING YOU ARE-NOT ENTITLED TO EXERCISE YOUR VOTING RIGHTS. FURTHER, YOUR VOTING RIGHT MIGHT-BE EXCLUDED WHEN YOUR SHARE IN VOTING RIGHTS HAS REACHED CERTAIN THRESHOLDS-AND YOU HAVE NOT COMPLIED WITH ANY OF YOUR MANDATORY VOTING RIGHTS-NOTIFICATIONS PURSUANT TO THE GERMAN SECURITIES TRADING ACT (WPHG). FOR-QUESTIONS IN THIS REGARD PLEASE CONTACT YOUR CLIENT SERVICE REPRESENTATIVE-FOR CLARIFICATION. IF YOU DO NOT HAVE ANY INDICATION REGARDING SUCH CONFLICT-OF INTEREST, OR ANOTHER EXCLUSION FROM VOTING, PLEASE SUBMIT YOUR VOTE AS-USUAL. THANK YOU.</p>	Non-Voting		
CMMT	<p>PLEASE NOTE THAT THE TRUE RECORD DATE FOR THIS MEETING IS 29/03/2018, WHEREAS-THE MEETING HAS BEEN SETUP USING THE ACTUAL RECORD DATE - 1 BUSINESS DAY.-THIS IS DONE TO ENSURE THAT ALL POSITIONS REPORTED ARE IN CONCURRENCE WITH-THE GERMAN LAW. THANK YOU.</p>	Non-Voting		
CMMT	<p>COUNTER PROPOSALS MAY BE SUBMITTED UNTIL 04/04/2018. FURTHER INFORMATION ON-COUNTER PROPOSALS CAN BE FOUND DIRECTLY ON THE ISSUER'S WEBSITE (PLEASE REFER-TO THE MATERIAL URL SECTION OF THE APPLICATION). IF YOU WISH TO ACT ON THESE-ITEMS, YOU WILL NEED TO REQUEST A MEETING ATTEND AND VOTE YOUR SHARES-DIRECTLY AT THE COMPANY'S MEETING. COUNTER PROPOSALS CANNOT BE REFLECTED IN-THE BALLOT ON PROXYEDGE.</p>	Non-Voting		
1	<p>PRESENTATION OF THE ADOPTED FINANCIAL STATEMENTS OF GEA GROUP-AKTIENGESELLSCHAFT AND OF THE APPROVED CONSOLIDATED FINANCIAL STATEMENTS AS-OF DECEMBER 31, 2017, OF THE GROUP MANAGEMENT REPORT COMBINED WITH THE-MANAGEMENT REPORT OF GEA GROUP AKTIENGESELLSCHAFT FOR FISCAL YEAR 2017-INCLUDING THE</p>	Non-Voting		

## Investment Company Report

EXPLANATORY REPORT OF THE EXECUTIVE BOARD ON THE INFORMATION-PROVIDED IN ACCORDANCE WITH S.289A PARA. 1 AND S.315A PARA. 1 HGB (GERMAN-COMMERCIAL CODE) AS WELL AS THE REPORT OF THE SUPERVISORY BOARD FOR FISCAL-YEAR 2017

2	APPROPRIATION OF NET EARNINGS	Management	For	For
3	RATIFICATION OF THE ACTS OF THE MEMBERS OF THE EXECUTIVE BOARD IN FISCAL YEAR 2017	Management	For	For
4	RATIFICATION OF THE ACTS OF THE MEMBERS OF THE SUPERVISORY BOARD IN FISCAL YEAR 2017	Management	For	For
5	ELECTION OF THE AUDITOR FOR FISCAL YEAR 2018: KPMG AG WIRTSCHAFTSPRUEFUNGSGESELLSCHAFT	Management	For	For
6	AUTHORIZATION TO ACQUIRE AND USE TREASURY STOCKS AS WELL AS EXCLUSION OF THE RIGHT TO TENDER AND OF THE SUBSCRIPTION RIGHT	Management	For	For
7	WITH REGARD TO ANY SHAREHOLDERS MOTIONS AND PROPOSALS FOR ELECTIONS WHICH WERE NOT PUBLISHED PRIOR TO THE ANNUAL GENERAL MEETING BUT SUBMITTED AT THE OCCASION OF THE ANNUAL GENERAL MEETING	Management	For	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
PI3F0600002	PI3F0600002	PGD - CIBC	BNY MELLON	5,500	0	29-Mar-2018	11-Apr-2018

## Investment Company Report

### BARCLAYS PLC

Security	06738E204	Meeting Type	Annual
Ticker Symbol	BCS	Meeting Date	01-May-2018
ISIN	US06738E2046	Agenda	934761493 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1.	To receive the Reports of the Directors and Auditors and the audited accounts of the Company for the year ended 31 December 2017.	Management	For	For
2.	To approve the Directors' Remuneration Report for the year ended 31 December 2017.	Management	For	For
3.	To appoint Matthew Lester as a Director of the Company.	Management	For	For
4.	To appoint Mike Turner as a Director of the Company.	Management	For	For
5.	To reappoint Mike Ashley as a Director of the Company.	Management	Against	Against
6.	To reappoint Tim Breedon as a Director of the Company.	Management	For	For
7.	To reappoint Sir Ian Cheshire as a Director of the Company.	Management	For	For
8.	To reappoint Mary Francis as a Director of the Company.	Management	For	For
9.	To reappoint Crawford Gillies as a Director of the Company.	Management	For	For
10.	To reappoint Sir Gerry Grimstone as a Director of the Company.	Management	For	For
11.	To reappoint Reuben Jeffery III as a Director of the Company.	Management	For	For
12.	To reappoint John McFarlane as a Director of the Company.	Management	For	For
13.	To reappoint Tushar Morzaria as a Director of the Company.	Management	For	For
14.	To reappoint Dambisa Moyo as a Director of the Company.	Management	For	For
15.	To reappoint Diane Schueneman as a Director of the Company.	Management	For	For
16.	To reappoint James Staley as a Director of the Company.	Management	For	For
17.	To reappoint KPMG LLP as auditors of the Company.	Management	For	For
18.	To authorise the Board Audit Committee to set the remuneration of the auditors.	Management	For	For
19.	To authorise the Company and its subsidiaries to make political donations and incur political expenditure.	Management	For	For
20.	To authorise the Directors to allot shares and equity securities.	Management	For	For
21.	To authorise the Directors to allot equity securities for cash and/or to sell treasury shares other than on a pro rata basis to shareholders of no more than 5% of issued share capital.	Management	For	For

## Investment Company Report

22.	To authorise the Directors to allot equity securities for cash and/or to sell treasury shares other than on a pro rata basis to shareholders of no more than an additional 5% of issued share capital in connection with an acquisition or specified capital investment.	Management	For	For
23.	To authorise the Directors to allot equity securities in relation to the issuance of contingent Equity Conversion Notes.	Management	For	For
24.	To authorise the Directors to allot equity securities for cash other than on a pro rata basis to shareholders in relation to the issuance of contingent Equity Conversion Notes.	Management	For	For
25.	To authorise the Company to purchase its own shares.	Management	For	For
26.	To authorise the Directors to call general meetings (other than an AGM) on not less than 14 clear days' notice.	Management	For	For
27.	To authorise renewal of the Scrip dividend programme.	Management	For	For
28.	To approve that the whole amount standing to the credit of the Company's share premium account be cancelled.	Management	For	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
B01PI3F0600002C	B01PI3F0600002 C	PGD - CIBC	BNY MELLON	18,000	0	29-Mar-2018	27-Apr-2018

# Investment Company Report

## DUFY AG, BASEL

Security	H2082J107	Meeting Type	Ordinary General Meeting
Ticker Symbol		Meeting Date	03-May-2018
ISIN	CH0023405456	Agenda	709253001 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
CMMT	PART 2 OF THIS MEETING IS FOR VOTING ON AGENDA AND MEETING ATTENDANCE-REQUESTS ONLY. PLEASE ENSURE THAT YOU HAVE FIRST VOTED IN FAVOUR OF THE-REGISTRATION OF SHARES IN PART 1 OF THE MEETING. IT IS A MARKET REQUIREMENT-FOR MEETINGS OF THIS TYPE THAT THE SHARES ARE REGISTERED AND MOVED TO A-REGISTERED LOCATION AT THE CSD, AND SPECIFIC POLICIES AT THE INDIVIDUAL-SUB-CUSTODIANS MAY VARY. UPON RECEIPT OF THE VOTE INSTRUCTION, IT IS POSSIBLE-THAT A MARKER MAY BE PLACED ON YOUR SHARES TO ALLOW FOR RECONCILIATION AND-RE-REGISTRATION FOLLOWING A TRADE. THEREFORE WHILST THIS DOES NOT PREVENT THE-TRADING OF SHARES, ANY THAT ARE REGISTERED MUST BE FIRST DEREGISTERED IF-REQUIRED FOR SETTLEMENT. DEREGISTRATION CAN AFFECT THE VOTING RIGHTS OF THOSE-SHARES. IF YOU HAVE CONCERNS REGARDING YOUR ACCOUNTS, PLEASE CONTACT YOUR-CLIENT REPRESENTATIVE	Non-Voting		
1.1	APPROVAL OF THE CONSOLIDATED FINANCIAL STATEMENTS AND THE ANNUAL FINANCIAL STATEMENTS FOR 2017 AND ADVISORY VOTE ON THE REMUNERATION REPORT 2017: THE BOARD OF DIRECTORS PROPOSES THAT THE CONSOLIDATED FINANCIAL STATEMENTS AND THE ANNUAL FINANCIAL STATEMENTS FOR 2017 BE APPROVED	Management	For	For
1.2	APPROVAL OF THE CONSOLIDATED FINANCIAL STATEMENTS AND THE ANNUAL FINANCIAL STATEMENTS FOR 2017 AND ADVISORY VOTE ON THE REMUNERATION REPORT 2017: THE BOARD OF DIRECTORS PROPOSES THAT THE REMUNERATION REPORT AS SHOWN IN THE ANNUAL REPORT 2017 (PAGES 237 TO 250) BE APPROVED ON A NON-BINDING CONSULTATIVE BASIS	Management	For	For
2	APPROPRIATION OF AVAILABLE EARNINGS AND DISTRIBUTION OF A CASH DIVIDEND OUT OF RESERVES FROM CAPITAL CONTRIBUTION: CHF 3.75 PER REGISTERED SHARE	Management	For	For
3	THE BOARD OF DIRECTORS PROPOSES THAT THE MEMBERS OF THE BOARD OF DIRECTORS AND THE PERSONS ENTRUSTED WITH MANAGEMENT BE GRANTED DISCHARGE FOR THEIR ACTIVITIES IN THE FINANCIAL YEAR 2017	Management	For	For

## Investment Company Report

4.1	THE BOARD OF DIRECTORS PROPOSES THAT MR. JUAN CARLOS TORRES CARRETERO BE RE-ELECTED AS MEMBER OF THE BOARD OF DIRECTORS AND AS ITS CHAIRMAN FOR A TERM OF OFFICE EXTENDING UNTIL COMPLETION OF THE NEXT ORDINARY GENERAL MEETING	Management	For	For
4.2.1	RE-ELECTION OF DIRECTOR: MR. ANDRES HOLZER NEUMANN	Management	For	For
4.2.2	RE-ELECTION OF DIRECTOR: MR. JORGE BORN	Management	For	For
4.2.3	RE-ELECTION OF DIRECTOR: MS. CLAIRE CHIANG	Management	For	For
4.2.4	RE-ELECTION OF DIRECTOR: MR. JULIAN DIAZ GONZALEZ	Management	For	For
4.2.5	RE-ELECTION OF DIRECTOR: MR. GEORGE KOUTSOLIOUTSOS	Management	For	For
4.2.6	RE-ELECTION OF DIRECTOR: MS. HEEKYUNG (JO) MIN	Management	For	For
4.3.1	ELECTION OF NEW DIRECTOR: MS. LYNDA TYLER-CAGN	Management	For	For
4.3.2	ELECTION OF NEW DIRECTOR: MR. STEVEN TADLER	Management	For	For
5.1	RE-ELECTION TO THE REMUNERATION COMMITTEE: MR. JORGE BORN	Management	For	For
5.2	ELECTION TO THE REMUNERATION COMMITTEE: MS. CLAIRE CHIANG	Management	For	For
5.3	ELECTION TO THE REMUNERATION COMMITTEE: MS. LYNDA TYLER-CAGNI	Management	For	For
6	THE BOARD OF DIRECTORS PROPOSES THAT ERNST & YOUNG LTD BE RE-ELECTED AS THE AUDITORS FOR THE FINANCIAL YEAR 2018	Management	For	For
7	THE BOARD OF DIRECTORS PROPOSES THAT ALTENBURGER LTD LEGAL & TAX, SEESTRASSE 39, 8700 KUSNACHT-ZURICH, BE RE-ELECTED AS THE INDEPENDENT VOTING RIGHTS REPRESENTATIVE FOR A TERM OF OFFICE EXTENDING UNTIL COMPLETION OF THE NEXT ORDINARY GENERAL MEETING	Management	For	For
8.1	THE BOARD OF DIRECTORS PROPOSES TO APPROVE A MAXIMUM AGGREGATE AMOUNT OF COMPENSATION OF THE BOARD OF DIRECTORS FOR THE TERM OF OFFICE FROM THE 2018 ORDINARY GENERAL MEETING TO THE 2019 ORDINARY GENERAL MEETING OF CHF 8.7 MILLION	Management	For	For
8.2	THE BOARD OF DIRECTORS PROPOSES TO APPROVE A MAXIMUM AGGREGATE AMOUNT OF COMPENSATION OF THE GLOBAL EXECUTIVE COMMITTEE FOR THE FINANCIAL YEAR 2019 OF CHF 37.1 MILLION	Management	For	For

## Investment Company Report

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
PI3F0600002	PI3F0600002	PGD - CIBC	BNY MELLON	2,600	0	16-Apr-2018	25-Apr-2018

# Investment Company Report

## RECKITT BENCKISER GROUP PLC

Security	G74079107	Meeting Type	Annual General Meeting
Ticker Symbol		Meeting Date	03-May-2018
ISIN	GB00B24CGK77	Agenda	709144240 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1	TO RECEIVE THE 2017 REPORT AND FINANCIAL STATEMENTS	Management	For	For
2	TO RECEIVE AND ADOPT THE DIRECTORS' REMUNERATION REPORT	Management	For	For
3	TO DECLARE A FINAL DIVIDEND	Management	For	For
4	TO RE-ELECT NICANDRO DURANTE	Management	For	For
5	TO RE-ELECT MARY HARRIS	Management	For	For
6	TO RE-ELECT ADRIAN HENNAH	Management	For	For
7	TO RE-ELECT RAKESH KAPOOR	Management	For	For
8	TO RE-ELECT PAMELA KIRBY	Management	For	For
9	TO RE-ELECT ANDRE LACROIX	Management	For	For
10	TO RE-ELECT CHRIS SINCLAIR	Management	For	For
11	TO RE-ELECT WARREN TUCKER	Management	For	For
12	TO APPOINT KPMG LLP AS AUDITOR	Management	For	For
13	TO AUTHORISE THE AUDIT COMMITTEE TO DETERMINE THE AUDITOR'S REMUNERATION	Management	For	For
14	TO AUTHORISE THE COMPANY TO MAKE POLITICAL DONATIONS	Management	For	For
15	TO RENEW THE DIRECTORS' AUTHORITY TO ALLOT SHARES	Management	For	For
16	TO RENEW THE DIRECTORS' POWER TO DISAPPLY PRE-EMPTION RIGHTS IN RESPECT OF UP TO 5 PER CENT OF ISSUED SHARE CAPITAL	Management	For	For
17	TO AUTHORISE THE DIRECTORS TO DISAPPLY PRE-EMPTION RIGHTS IN RESPECT OF AN ADDITIONAL 5 PER CENT OF ISSUED SHARE CAPITAL	Management	For	For
18	TO RENEW THE COMPANY'S AUTHORITY TO PURCHASE ITS OWN SHARES	Management	For	For
19	TO APPROVE THE CALLING OF GENERAL MEETINGS ON 14 CLEAR DAYS' NOTICE	Management	For	For
CMMT	23 APR 2018: PLEASE NOTE THAT THIS IS A REVISION DUE TO MODIFICATION OF TEXT-IN RESOLUTION 8. IF YOU HAVE ALREADY SENT IN YOUR VOTES, PLEASE DO NOT VOTE-AGAIN UNLESS YOU DECIDE TO AMEND YOUR ORIGINAL INSTRUCTIONS. THANK YOU	Non-Voting		



## Investment Company Report

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
PI3F0600002	PI3F0600002	PGD - CIBC	BNY MELLON	500	0	02-Apr-2018	27-Apr-2018

# Investment Company Report

## CRESCENT POINT ENERGY CORP.

Security	22576C101	Meeting Type	Contested-Annual
Ticker Symbol	CPG	Meeting Date	04-May-2018
ISIN	CA22576C1014	Agenda	934772713 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1	To fix the number of directors of the Corporation to be elected at the Annual General Meeting at ten (10).	Management		
2	DIRECTOR	Management		
	1 Rene Amirault			
	2 Peter Bannister			
	3 Laura A. Cillis			
	4 Ted Goldthorpe			
	5 Robert F. Heinemann			
	6 Mike Jackson			
	7 François Langlois			
	8 Barbara Munroe			
	9 Gerald A. Romanzin			
	10 Scott Saxberg			
3	Appoint PricewaterhouseCoopers LLP, Chartered Professional Accountants, as auditors of the Corporation and authorize the board of directors of the Corporation to fix their remuneration as such.	Management		
4	Approve an ordinary resolution amending our Restricted Share Bonus Plan ("RSBP") to increase the number of common shares to be reserved for issuance under the RSBP by 6 million, the full text of which is set forth in the Information Circular.	Management		
5	Approve an ordinary resolution adopting our Stock Option Plan with a maximum number of common shares to be reserved for issuance thereunder of 13 million, the full text of which is set forth in the Information Circular.	Management		
6	Approve an ordinary resolution ratifying and approving the prior grant of an aggregate of 2,988,032 options to certain employees, under the company's Stock Option Plan, the full text of which is set forth in the Information Circular.	Management		
7	Approve an advisory resolution accepting the company's approach to executive compensation, the full text of which is set forth in the Information Circular.	Management		

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
B01PI3F0600002C	B01PI3F0600002C	PGD - CIBC	CIBC MELLON GLOBAL SECURITIES SERVICES	4,000	0		

## Investment Company Report

### CRESCENT POINT ENERGY CORP.

Security	22576C101	Meeting Type	Contested-Annual
Ticker Symbol	CPG	Meeting Date	04-May-2018
ISIN	CA22576C1014	Agenda	934793589 - Opposition

Item	Proposal	Proposed by	Vote	For/Against Management
01	CATION RECOMMENDS A VOTE FOR FIXING THE NUMBER OF DIRECTORS OF CRESCENT POINT AT TEN (10).	Management	For	For
2A	DALLAS J. HOWE CATION RECOMMENDS A "FOR" VOTE. A SHAREHOLDER MUST INDICATE A "FOR" VOTE ONLY WITH RESPECT TO TEN (10) OF THE FOURTEEN (14) DIRECTOR NOMINEES BELOW. SHAREHOLDERS ARE NOT TO VOTE "FOR" MORE THAN A TOTAL OF TEN (10) DIRECTOR NOMINEES.	Management	For	For
2B	HERBERT C. PINDER CATION RECOMMENDS A "FOR" VOTE. A SHAREHOLDER MUST INDICATE A "FOR" VOTE ONLY WITH RESPECT TO TEN (10) OF THE FOURTEEN (14) DIRECTOR NOMINEES BELOW. SHAREHOLDERS ARE NOT TO VOTE "FOR" MORE THAN A TOTAL OF TEN (10) DIRECTOR NOMINEES.	Management	For	For
2C	THOMAS A. BUDD CATION RECOMMENDS A "FOR" VOTE. A SHAREHOLDER MUST INDICATE A "FOR" VOTE ONLY WITH RESPECT TO TEN (10) OF THE FOURTEEN (14) DIRECTOR NOMINEES BELOW. SHAREHOLDERS ARE NOT TO VOTE "FOR" MORE THAN A TOTAL OF TEN (10) DIRECTOR NOMINEES.	Management	Withheld	Against
2D	SANDY L. EDMONSTONE CATION RECOMMENDS A "FOR" VOTE. A SHAREHOLDER MUST INDICATE A "FOR" VOTE ONLY WITH RESPECT TO TEN (10) OF THE FOURTEEN (14) DIRECTOR NOMINEES BELOW. SHAREHOLDERS ARE NOT TO VOTE "FOR" MORE THAN A TOTAL OF TEN (10) DIRECTOR NOMINEES.	Management	Withheld	Against
2E	BARBARA MUNROE CATION RECOMMENDS A "WITHHOLD" VOTE. A SHAREHOLDER MUST INDICATE A "FOR" VOTE ONLY WITH RESPECT TO TEN (10) OF THE FOURTEEN (14) DIRECTOR NOMINEES BELOW. SHAREHOLDERS ARE NOT TO VOTE "FOR" MORE THAN A TOTAL OF TEN (10) DIRECTOR NOMINEES.	Management	Withheld	
2F	TED GOLDTHORPE CATION RECOMMENDS A "WITHHOLD" VOTE. A SHAREHOLDER MUST INDICATE A "FOR" VOTE ONLY WITH RESPECT TO TEN (10) OF THE FOURTEEN (14) DIRECTOR NOMINEES BELOW. SHAREHOLDERS ARE NOT TO VOTE "FOR" MORE THAN A TOTAL OF TEN (10) DIRECTOR NOMINEES.	Management	For	

## Investment Company Report

2G	LAURA A. CILLIS CATION RECOMMENDS A "WITHHOLD" VOTE. A SHAREHOLDER MUST INDICATE A "FOR" VOTE ONLY WITH RESPECT TO TEN (10) OF THE FOURTEEN (14) DIRECTOR NOMINEES BELOW. SHAREHOLDERS ARE NOT TO VOTE "FOR" MORE THAN A TOTAL OF TEN (10) DIRECTOR NOMINEES.	Management	Withheld
2H	RENE AMIRAUT CATION RECOMMENDS A "WITHHOLD" VOTE. A SHAREHOLDER MUST INDICATE A "FOR" VOTE ONLY WITH RESPECT TO TEN (10) OF THE FOURTEEN (14) DIRECTOR NOMINEES BELOW. SHAREHOLDERS ARE NOT TO VOTE "FOR" MORE THAN A TOTAL OF TEN (10) DIRECTOR NOMINEES.	Management	For
2I	ROBERT F. HEINEMANN CATION RECOMMENDS A "WITHHOLD" VOTE. A SHAREHOLDER MUST INDICATE A "FOR" VOTE ONLY WITH RESPECT TO TEN (10) OF THE FOURTEEN (14) DIRECTOR NOMINEES BELOW. SHAREHOLDERS ARE NOT TO VOTE "FOR" MORE THAN A TOTAL OF TEN (10) DIRECTOR NOMINEES.	Management	Withheld
2J	PETER BANNISTER CATION RECOMMENDS A "WITHHOLD" VOTE. A SHAREHOLDER MUST INDICATE A "FOR" VOTE ONLY WITH RESPECT TO TEN (10) OF THE FOURTEEN (14) DIRECTOR NOMINEES BELOW. SHAREHOLDERS ARE NOT TO VOTE "FOR" MORE THAN A TOTAL OF TEN (10) DIRECTOR NOMINEES.	Management	Withheld
2K	FRANCOIS LANGLOIS CATION RECOMMENDS A "WITHHOLD" VOTE. A SHAREHOLDER MUST INDICATE A "FOR" VOTE ONLY WITH RESPECT TO TEN (10) OF THE FOURTEEN (14) DIRECTOR NOMINEES BELOW. SHAREHOLDERS ARE NOT TO VOTE "FOR" MORE THAN A TOTAL OF TEN (10) DIRECTOR NOMINEES.	Management	For
2L	MIKE JACKSON CATION RECOMMENDS A "WITHHOLD" VOTE. A SHAREHOLDER MUST INDICATE A "FOR" VOTE ONLY WITH RESPECT TO TEN (10) OF THE FOURTEEN (14) DIRECTOR NOMINEES BELOW. SHAREHOLDERS ARE NOT TO VOTE "FOR" MORE THAN A TOTAL OF TEN (10) DIRECTOR NOMINEES.	Management	Withheld
2M	GERALD A. ROMANZIN CATION RECOMMENDS A "WITHHOLD" VOTE. A SHAREHOLDER MUST INDICATE A "FOR" VOTE ONLY WITH RESPECT TO TEN (10) OF THE FOURTEEN (14) DIRECTOR NOMINEES BELOW. SHAREHOLDERS ARE NOT TO VOTE "FOR" MORE THAN A TOTAL OF TEN (10) DIRECTOR NOMINEES.	Management	For

## Investment Company Report

2N	SCOTT SAXBERG CATION RECOMMENDS A "WITHHOLD" VOTE. A SHAREHOLDER MUST INDICATE A "FOR" VOTE ONLY WITH RESPECT TO TEN (10) OF THE FOURTEEN (14) DIRECTOR NOMINEES BELOW. SHAREHOLDERS ARE NOT TO VOTE "FOR" MORE THAN A TOTAL OF TEN (10) DIRECTOR NOMINEES.	Management	For	
03	CATION RECOMMENDS A VOTE FOR THE RE-APPOINTMENT OF PRICEWATERHOUSECOOPERS LLP, AS AUDITORS OF CRESCENT POINT.	Management	For	For
04	CATION MAKES NO RECOMMENDATION REGARDING THE ORDINARY RESOLUTION AMENDING CRESCENT POINT'S RESTRICTED SHARE BONUS PLAN ("RSBP") TO INCREASE THE NUMBER OF COMMON SHARES TO BE RESERVED FOR ISSUANCE UNDER THE RSBP BY 6 MILLION, THE FULL TEXT OF WHICH IS SET FORTH IN THE INFORMATION CIRCULAR - PROXY STATEMENT OF CRESCENT POINT DATED MARCH 22, 2018 (THE "CRESCENT POINT CIRCULAR").	Management	For	
05	CATION MAKES NO RECOMMENDATION REGARDING THE ORDINARY RESOLUTION ADOPTING CRESCENT POINT'S STOCK OPTION PLAN WITH A MAXIMUM NUMBER OF COMMON SHARES TO BE RESERVED FOR ISSUANCE THEREUNDER OF 13 MILLION, THE FULL TEXT OF WHICH IS SET FORTH IN THE CRESCENT POINT CIRCULAR.	Management	Against	
06	CATION MAKES NO RECOMMENDATION REGARDING THE ORDINARY RESOLUTION RATIFYING AND APPROVING THE GRANT OF AN AGGREGATE OF 2,988,032 OPTIONS TO CERTAIN EMPLOYEES, AS PREVIOUSLY APPROVED BY THE BOARD, THE FULL TEXT OF WHICH IS SET FORTH IN THE CRESCENT POINT CIRCULAR.	Management	Against	
07	CATION RECOMMENDS A VOTE AGAINST THE ADVISORY RESOLUTION ACCEPTING CRESCENT POINT'S APPROACH TO EXECUTIVE COMPENSATION, THE FULL TEXT OF WHICH IS SET FORTH IN THE CRESCENT POINT CIRCULAR.	Management	Against	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
B01PI3F0600002C	B01PI3F0600002C	PGD - CIBC	CIBC MELLON GLOBAL SECURITIES SERVICES	4,000	0	24-Apr-2018	07-May-2018

## Investment Company Report

### RENTOKIL INITIAL PLC

Security	G7494G105	Meeting Type	Annual General Meeting
Ticker Symbol		Meeting Date	09-May-2018
ISIN	GB00B082RF11	Agenda	709239974 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1	TO RECEIVE THE AUDITED FINANCIAL STATEMENTS OF THE COMPANY AND THE DIRECTORS' AND AUDITORS' REPORT THEREON	Management	For	For
2	TO APPROVE THE 2018 DIRECTORS' REMUNERATION POLICY	Management	For	For
3	TO APPROVE THE DIRECTORS' REMUNERATION REPORT	Management	For	For
4	TO APPROVE THE AMENDED RULES OF THE PERFORMANCE SHARE PLAN 2016	Management	For	For
5	TO DECLARE A FINAL DIVIDEND: 2.74P PER ORDINARY SHARE	Management	For	For
6	TO ELECT SIR CRISPIN DAVIS AS A DIRECTOR	Management	For	For
7	TO ELECT JOHN PETTIGREW AS A DIRECTOR	Management	For	For
8	TO ELECT LINDA YUEH AS A DIRECTOR	Management	For	For
9	TO RE-ELECT RICHARD BURROWS AS A DIRECTOR	Management	For	For
10	TO RE-ELECT JOHN MCADAM AS A DIRECTOR	Management	For	For
11	TO RE-ELECT ANDY RANSOM AS A DIRECTOR	Management	For	For
12	TO RE-ELECT ANGELA SEYMOUR-JACKSON AS A DIRECTOR	Management	For	For
13	TO RE-ELECT JULIE SOUTHERN AS A DIRECTOR	Management	For	For
14	TO RE-ELECT JEREMY TOWNSEND AS A DIRECTOR	Management	For	For
15	TO RE-APPOINT KPMG LLP AS AUDITOR	Management	For	For
16	TO AUTHORISE THE DIRECTORS TO AGREE THE AUDITOR'S REMUNERATION	Management	For	For
17	TO AUTHORISE THE DIRECTORS TO ALLOT SHARES	Management	For	For
18	TO DISAPPLY STATUTORY PRE-EMPTION RIGHTS	Management	For	For
19	TO DISAPPLY STATUTORY PRE-EMPTION RIGHTS - ADDITIONAL 5%	Management	For	For
20	TO AUTHORISE THE DIRECTORS TO MAKE MARKET PURCHASES OF THE COMPANY'S OWN SHARES	Management	For	For
21	TO AUTHORISE THE MAKING OF POLITICAL DONATIONS	Management	For	For
22	TO AUTHORISE THE CALLING OF A GENERAL MEETING (OTHER THAN AN ANNUAL GENERAL MEETING) ON 14 DAYS' CLEAR NOTICE	Management	For	For

## Investment Company Report

23	TO ADOPT THE ARTICLES OF ASSOCIATION OF THE COMPANY PRODUCED TO THE MEETING	Management	For	For
CMMT	PLEASE NOTE THAT THIS IS AN AMENDMENT TO MEETING ID 909951 DUE TO DELETION OF- RESOLUTION 2. ALL VOTES RECEIVED ON THE PREVIOUS MEETING WILL BE DISREGARDED-AND YOU WILL NEED TO REINSTRUCT ON THIS MEETING NOTICE. THANK YOU.	Non-Voting		

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
PI3F0600002	PI3F0600002	PGD - CIBC	BNY MELLON	35,000	0	16-Apr-2018	03-May-2018

# Investment Company Report

## JPMORGAN CHASE & CO.

Security	46625H100	Meeting Type	Annual
Ticker Symbol	JPM	Meeting Date	15-May-2018
ISIN	US46625H1005	Agenda	934764463 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1a.	Election of Director: Linda B. Bammann	Management	For	For
1b.	Election of Director: James A. Bell	Management	For	For
1c.	Election of Director: Stephen B. Burke	Management	For	For
1d.	Election of Director: Todd A. Combs	Management	For	For
1e.	Election of Director: James S. Crown	Management	For	For
1f.	Election of Director: James Dimon	Management	For	For
1g.	Election of Director: Timothy P. Flynn	Management	For	For
1h.	Election of Director: Mellody Hobson	Management	For	For
1i.	Election of Director: Laban P. Jackson Jr.	Management	For	For
1j.	Election of Director: Michael A. Neal	Management	For	For
1k.	Election of Director: Lee R. Raymond	Management	For	For
1l.	Election of Director: William C. Weldon	Management	For	For
2.	Ratification of special meeting provisions in the Firm's By-Laws	Management	For	For
3.	Advisory resolution to approve executive compensation	Management	For	For
4.	Approval of Amended and Restated Long-Term Incentive Plan effective May 15, 2018	Management	For	For
5.	Ratification of independent registered public accounting firm	Management	For	For
6.	Independent Board chairman	Shareholder	Against	For
7.	Vesting for government service	Shareholder	Against	For
8.	Proposal to report on investments tied to genocide	Shareholder	Against	For
9.	Cumulative Voting	Shareholder	Against	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
B01PI3F0600002C	B01PI3F0600002 C	PGD - CIBC	BNY MELLON	1,000	0	09-Apr-2018	16-May-2018



## Investment Company Report

### MONDELEZ INTERNATIONAL, INC.

Security	609207105	Meeting Type	Annual
Ticker Symbol	MDLZ	Meeting Date	16-May-2018
ISIN	US6092071058	Agenda	934755313 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1a.	Election of Director: Lewis W.K. Booth	Management	For	For
1b.	Election of Director: Charles E. Bunch	Management	For	For
1c.	Election of Director: Debra A. Crew	Management	For	For
1d.	Election of Director: Lois D. Juliber	Management	For	For
1e.	Election of Director: Mark D. Ketchum	Management	For	For
1f.	Election of Director: Peter W. May	Management	For	For
1g.	Election of Director: Jorge S. Mesquita	Management	For	For
1h.	Election of Director: Joseph Neubauer	Management	For	For
1i.	Election of Director: Fredric G. Reynolds	Management	For	For
1j.	Election of Director: Christiana S. Shi	Management	For	For
1k.	Election of Director: Patrick T. Siewert	Management	For	For
1l.	Election of Director: Jean-Francois M. L. van Boxmeer	Management	For	For
1m.	Election of Director: Dirk Van de Put	Management	For	For
2.	Advisory Vote to Approve Executive Compensation.	Management	For	For
3.	Ratification of PricewaterhouseCoopers LLP as Independent Registered Public Accountants for Fiscal Year Ending December 31, 2018.	Management	For	For
4.	Report on Non-Recyclable Packaging.	Shareholder	Against	For
5.	Create a Committee to Prepare a Report Regarding the Impact of Plant Closures on Communities and Alternatives to Help Mitigate the Effects.	Shareholder	Against	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
00047580386 ! 5RY0	PORTLAND GLOBAL DIVIDEND FUND	475-80386-26 (PGD - RBC)	RBC DOMINION SECURITIES INC	1,500	0	03-Apr-2018	17-May-2018
B01PI3F0600002C	B01PI3F0600002 C	PGD - CIBC	BNY MELLON	2,500	0	03-Apr-2018	17-May-2018

## Investment Company Report

### PRUDENTIAL PLC

Security	G72899100	Meeting Type	Annual General Meeting
Ticker Symbol		Meeting Date	17-May-2018
ISIN	GB0007099541	Agenda	709227234 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1	TO RECEIVE AND CONSIDER THE 2017 ACCOUNTS, STRATEGIC REPORT, DIRECTORS' REMUNERATION REPORT, DIRECTORS' REPORT AND THE AUDITOR'S REPORT (THE ANNUAL REPORT)	Management	For	For
2	TO APPROVE THE DIRECTORS' REMUNERATION REPORT	Management	For	For
3	TO ELECT MR MARK FITZPATRICK AS A DIRECTOR	Management	For	For
4	TO ELECT MR JAMES TURNER AS A DIRECTOR	Management	For	For
5	TO ELECT MR THOMAS WATJEN AS A DIRECTOR	Management	For	For
6	TO RE-ELECT SIR HOWARD DAVIES AS A DIRECTOR	Management	For	For
7	TO RE-ELECT MR JOHN FOLEY AS A DIRECTOR	Management	For	For
8	TO RE-ELECT MR DAVID LAW AS A DIRECTOR	Management	For	For
9	TO RE-ELECT MR PAUL MANDUCA AS A DIRECTOR	Management	For	For
10	TO RE-ELECT MR KAIKHUSHRU NARGOLWALA AS A DIRECTOR	Management	For	For
11	TO RE-ELECT MR NICOLAOS NICANDROU AS A DIRECTOR	Management	For	For
12	TO RE-ELECT MR ANTHONY NIGHTINGALE AS A DIRECTOR	Management	For	For
13	TO RE-ELECT MR PHILIP REMNANT AS A DIRECTOR	Management	For	For
14	TO RE-ELECT MS ANNE RICHARDS AS A DIRECTOR	Management	For	For
15	TO RE-ELECT MS ALICE SCHROEDER AS A DIRECTOR	Management	For	For
16	TO RE-ELECT MR BARRY STOWE AS A DIRECTOR	Management	For	For
17	TO RE-ELECT LORD TURNER AS A DIRECTOR	Management	For	For
18	TO RE-ELECT MR MICHAEL WELLS AS A DIRECTOR	Management	For	For
19	TO RE-APPOINT KPMG LLP AS THE COMPANY'S AUDITOR	Management	For	For
20	TO AUTHORISE THE AUDIT COMMITTEE TO DETERMINE THE AMOUNT OF THE AUDITOR'S REMUNERATION	Management	For	For
21	TO RENEW THE AUTHORITY TO MAKE POLITICAL DONATIONS	Management	For	For
22	TO RENEW THE AUTHORITY TO ALLOT ORDINARY SHARES	Management	For	For

## Investment Company Report

23	TO RENEW THE EXTENSION OF AUTHORITY TO ALLOT ORDINARY SHARES TO INCLUDE REPURCHASED SHARES;	Management	For	For
24	TO RENEW THE AUTHORITY FOR DISAPPLICATION OF PRE-EMPTION RIGHTS	Management	For	For
25	TO RENEW THE AUTHORITY FOR THE ISSUANCE OF MANDATORY CONVERTIBLE SECURITIES (MCS);	Management	For	For
26	TO RENEW THE AUTHORITY FOR DISAPPLICATION OF PRE-EMPTION RIGHTS IN CONNECTION WITH AN ISSUANCE OF MCS	Management	For	For
27	TO RENEW THE AUTHORITY FOR PURCHASE OF OWN SHARES;	Management	For	For
28	TO RENEW THE AUTHORITY IN RESPECT OF NOTICE FOR GENERAL MEETINGS	Management	For	For
29	TO ADOPT NEW ARTICLES OF ASSOCIATION	Management	For	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
PI3F0600002	PI3F0600002	PGD - CIBC	BNY MELLON	7,000	0	16-Apr-2018	11-May-2018

## Investment Company Report

BP P.L.C.

Security	055622104	Meeting Type	Annual
Ticker Symbol	BP	Meeting Date	21-May-2018
ISIN	US0556221044	Agenda	934785455 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1.	To receive the annual report and accounts.	Management	For	For
2.	To approve the directors' remuneration report.	Management	For	For
3.	To re-elect Mr R W Dudley as a director.	Management	For	For
4.	To re-elect Mr B Gilvary as a director.	Management	For	For
5.	To re-elect Mr N S Andersen as a director.	Management	For	For
6.	To re-elect Mr A Boeckmann as a director.	Management	For	For
7.	To re-elect Admiral F L Bowman as a director.	Management	For	For
8.	To elect Dame Alison Carnwath as a director.	Management	For	For
9.	To re-elect Mr I E L Davis as a director.	Management	For	For
10.	To re-elect Professor Dame Ann Dowling as a director.	Management	For	For
11.	To re-elect Mrs M B Meyer as a director.	Management	For	For
12.	To re-elect Mr B R Nelson as a director.	Management	For	For
13.	To re-elect Mrs P R Reynolds as a director.	Management	For	For
14.	To re-elect Sir John Sawers as a director.	Management	For	For
15.	To re-elect Mr C-H Svanberg as a director.	Management	For	For
16.	To appoint Deloitte LLP as auditors and to authorize the directors to fix their remuneration.	Management	For	For
17.	To give limited authority to make political donations and incur political expenditure.	Management	For	For
18.	To give limited authority to allot shares up to a specified amount.	Management	For	For
19.	Special resolution: to give authority to allot a limited number of shares for cash free of pre-emption rights.	Management	For	For
20.	Special resolution: to give additional authority to allot a limited number of shares for cash free of pre-emption rights.	Management	For	For
21.	Special resolution: to give limited authority for the purchase of its own shares by the company.	Management	For	For
22.	Special resolution: to adopt new Articles of Association.	Management	For	For
23.	To approve the renewal of the Scrip Dividend Programme.	Management	For	For
24.	Special resolution: to authorize the calling of general meetings (excluding annual general meetings) by notice of at least 14 clear days.	Management	For	For

# Investment Company Report

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
B01PI3F0600002C	B01PI3F0600002 C	PGD - CIBC	BNY MELLON	4,000	0	16-Apr-2018	18-May-2018

## Investment Company Report

### ROYAL DUTCH SHELL PLC

Security	780259206	Meeting Type	Annual
Ticker Symbol	RDSA	Meeting Date	22-May-2018
ISIN	US7802592060	Agenda	934799199 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1.	Receipt of Annual Report & Accounts	Management	For	For
2.	Approval of Directors' Remuneration Report	Management	For	For
3.	Appointment of Ann Godbehere as a Director of the Company	Management	For	For
4.	Reappointment of Director: Ben van Beurden	Management	For	For
5.	Reappointment of Director: Euleen Goh	Management	For	For
6.	Reappointment of Director: Charles O. Holliday	Management	For	For
7.	Reappointment of Director: Catherine Hughes	Management	For	For
8.	Reappointment of Director: Gerard Kleisterlee	Management	For	For
9.	Reappointment of Director: Roberto Setubal	Management	For	For
10.	Reappointment of Director: Sir Nigel Sheinwald	Management	For	For
11.	Reappointment of Director: Linda G. Stuntz	Management	For	For
12.	Reappointment of Director: Jessica Uhl	Management	For	For
13.	Reappointment of Director: Gerrit Zalm	Management	For	For
14.	Reappointment of Auditors	Management	For	For
15.	Remuneration of Auditors	Management	For	For
16.	Authority to allot shares	Management	For	For
17.	Disapplication of pre-emption rights	Management	For	For
18.	Authority to purchase own shares	Management	For	For
19.	Shareholder resolution	Shareholder	Against	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
00047580386 ! 5RY0	PORTLAND GLOBAL DIVIDEND FUND	475-80386-26 (PGD - RBC)	RBC DOMINION SECURITIES INC	1,400	0	24-Apr-2018	14-May-2018
B01PI3F0600002C	B01PI3F0600002 C	PGD - CIBC	BNY MELLON	4,100	0	24-Apr-2018	14-May-2018

# Investment Company Report

## WALMART INC.

Security	931142103	Meeting Type	Annual
Ticker Symbol	WMT	Meeting Date	30-May-2018
ISIN	US9311421039	Agenda	934793072 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1a.	Election of Director: Stephen J. Easterbrook	Management	For	For
1b.	Election of Director: Timothy P. Flynn	Management	For	For
1c.	Election of Director: Sarah J. Friar	Management	For	For
1d.	Election of Director: Carla A. Harris	Management	For	For
1e.	Election of Director: Thomas W. Horton	Management	For	For
1f.	Election of Director: Marissa A. Mayer	Management	For	For
1g.	Election of Director: C. Douglas McMillon	Management	For	For
1h.	Election of Director: Gregory B. Penner	Management	For	For
1i.	Election of Director: Steven S Reinemund	Management	For	For
1j.	Election of Director: S. Robson Walton	Management	For	For
1k.	Election of Director: Steuart L. Walton	Management	For	For
2.	Advisory Vote to Approve Named Executive Officer Compensation	Management	For	For
3.	Ratification of Ernst & Young LLP as Independent Accountants	Management	For	For
4.	Request to Adopt an Independent Chair Policy	Shareholder	For	Against
5.	Request for Report on Racial or Ethnic Pay Gaps	Shareholder	Against	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
B01PI3F0600002C	B01PI3F0600002 C	PGD - CIBC	BNY MELLON	200	0	24-Apr-2018	31-May-2018

# Investment Company Report

## NN GROUP N.V.

Security	N64038107	Meeting Type	Annual General Meeting
Ticker Symbol		Meeting Date	31-May-2018
ISIN	NL0010773842	Agenda	709275273 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1	OPENING	Non-Voting		
2	2017 ANNUAL REPORT	Non-Voting		
3	CORPORATE GOVERNANCE	Non-Voting		
4	IMPLEMENTATION OF THE REMUNERATION POLICY DURING THE FINANCIAL YEAR 2017	Non-Voting		
5.A	PROPOSAL TO ADOPT THE ANNUAL ACCOUNTS FOR THE FINANCIAL YEAR 2017	Management	For	For
5.B	EXPLANATION OF THE PROFIT RETENTION AND DISTRIBUTION POLICY	Non-Voting		
5.C	PROPOSAL TO PAY OUT DIVIDEND: EUR 1.04 PER ORDINARY SHARE	Management	For	For
6.A	PROPOSAL TO RELEASE THE MEMBERS OF THE EXECUTIVE BOARD FROM LIABILITY FOR THEIR RESPECTIVE DUTIES PERFORMED DURING THE FINANCIAL YEAR 2017	Management	For	For
6.B	PROPOSAL TO RELEASE THE MEMBERS OF THE SUPERVISORY BOARD FROM LIABILITY FOR THEIR RESPECTIVE DUTIES PERFORMED DURING THE FINANCIAL YEAR 2017	Management	For	For
7	NOTICE OF THE INTENDED REAPPOINTMENT OF DELFIN RUEDA AS MEMBER OF THE-EXECUTIVE BOARD	Non-Voting		
8.A	PROPOSAL TO REAPPOINT HEIJO HAUSER AS MEMBER OF THE SUPERVISORY BOARD	Management	For	For
8.B	PROPOSAL TO REAPPOINT HANS SCHOEN AS MEMBER OF THE SUPERVISORY BOARD	Management	For	For
8.C	PROPOSAL TO APPOINT DAVID COLE AS MEMBER OF THE SUPERVISORY BOARD	Management	For	For
9.A	PROPOSAL TO DESIGNATE THE EXECUTIVE BOARD AS THE COMPETENT BODY TO RESOLVE ON THE ISSUANCE OF ORDINARY SHARES AND TO RESOLVE ON THE GRANTING OF RIGHTS TO SUBSCRIBE FOR ORDINARY SHARES	Management	For	For
9.B	PROPOSAL TO DESIGNATE THE EXECUTIVE BOARD AS THE COMPETENT BODY TO RESOLVE TO LIMIT OR EXCLUDE PRE-EMPTIVE RIGHTS OF SHAREHOLDERS WHEN ISSUING ORDINARY SHARES AND GRANTING RIGHTS TO SUBSCRIBE FOR ORDINARY SHARES	Management	For	For



## Investment Company Report

10	PROPOSAL TO AUTHORISE THE EXECUTIVE BOARD TO ACQUIRE ORDINARY SHARES IN THE COMPANY'S SHARE CAPITAL	Management	For	For
11	PROPOSAL TO REDUCE THE ISSUED SHARE CAPITAL BY CANCELLATION OF ORDINARY SHARES HELD BY THE COMPANY	Management	For	For
12	ANY OTHER BUSINESS AND CLOSING	Non-Voting		

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
PI3F0600002	PI3F0600002	PGD - CIBC	BNY MELLON	3,055	0	18-Apr-2018	22-May-2018

## Investment Company Report

### TOTAL S.A.

Security	89151E109	Meeting Type	Annual
Ticker Symbol	TOT	Meeting Date	01-Jun-2018
ISIN	US89151E1091	Agenda	934820083 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1.	Approval of the Company's financial statements for the fiscal year ended December 31, 2017	Management	For	For
2.	Approval of the Consolidated Financial Statements for the fiscal year ended December 31, 2017	Management	For	For
3.	Allocation of earnings, declaration of dividend and option for payment of the dividend balance in shares for the fiscal year ended December 31, 2017	Management	For	For
4.	Option for the payment of interim dividends for the fiscal year ended December 31, 2018 in shares - Delegation of powers to the Board of Directors	Management	For	For
5.	Authorization for the Board of Directors, granted for a period of 18 months, to trade on the shares of the Company	Management	For	For
6.	Renewal of the appointment of Mr. Patrick Pouyanne as a director	Management	For	For
7.	Renewal of the appointment of Mr. Patrick Artus as a director	Management	For	For
8.	Renewal of the appointment of Ms. Anne-Marie Idrac as a director	Management	For	For
9.	Agreements covered by Articles L. 225-38 et seq. of the French Commercial Code to M. Patrick Pouyanne	Management	For	For
10.	Commitments covered by Article L. 225-42-1 of the French Commercial Code	Management	For	For
11.	Approval of the fixed, variable and extraordinary components of the total compensation and the in-kind benefits paid or granted to the Chairman and Chief Executive Officer for the fiscal year 2017	Management	For	For
12.	Approval of the principles and criteria for the determination, breakdown and allocation of the fixed, variable and extraordinary components of the total compensation (including in-kind benefits) attributable to the Chairman and Chief Executive Officer	Management	For	For
13.	Delegation of authority granted to the Board of Directors, for a 26-month period, to increase the share capital with shareholders' pre-emptive subscription right, either through the issuance of common shares and/or any securities granting access to the Company's share capital, or by capitalizing premiums, reserves, surpluses or other	Management	For	For

## Investment Company Report

14.	Delegation of authority to the Board of Directors, for a 26-month period, to increase the share capital by way of public offering by issuing common shares and/or any securities granting access to the Company's share capital, without shareholders' pre-emptive subscription right	Management	For	For
15.	Delegation of authority to the Board of Directors, for a 26-month period, to issue, by way of an offer referred to in Article L. 411-2 II of the French Monetary and Financial Code, new common shares and/or any securities granting access to the Company's share capital, without shareholders' pre-emptive subscription right	Management	For	For
16.	Delegation of authority to the Board of Directors, for a 26-month period, to increase the number of securities to be issued in the case of a share capital increase without shareholders' pre-emptive subscription right	Management	For	For
17.	Delegation of powers to the Board of Directors, for a 26-month period, to increase the share capital by issuing common shares and/or any securities granting access to the Company's share capital, in consideration for contributions in kind to the benefit of the Company without shareholders' preemptive subscription right	Management	For	For
18.	Delegation of authority to the Board of Directors, for a 26-month period, to proceed with share capital increases, under the conditions provided by Articles L. 3332-18 et seq. of the French Labor Code, without shareholders' pre-emptive subscription right, reserved for participants in a company or group savings plan	Management	For	For
19.	Authorization to the Board of Directors, for a 38-month period, to grant Company shares (existing or to be issued) for the benefit of some or all Group employees and executive directors, which imply the waiver of the shareholders' pre-emptive subscription right	Management	For	For
20.	The Company has also received from the Central Works Council of UES Amont - Global Services - Holding of TOTAL - 2 place Jean Millier - La Defense 6 - 92078 La Defense cedex - France, a proposed resolution for the purpose of amending the bylaws regarding a new procedure for selecting the employee shareholder Director with a view to improving his or her representativeness and independence. (Please refer to resolution A in the Notice of Meeting. This resolution has not been approved by the Board.)	Management	For	

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
00047580386 ! 5RY0	PORTLAND GLOBAL DIVIDEND FUND	475-80386-26 (PGD - RBC)	RBC DOMINION SECURITIES INC	1,413	0	08-May-2018	25-May-2018
B01PI3F0600002C	B01PI3F0600002 C	PGD - CIBC	BNY MELLON	2,723	0	08-May-2018	25-May-2018

## Investment Company Report

### DIGNITY PLC

Security	G2871S194	Meeting Type	Annual General Meeting
Ticker Symbol		Meeting Date	07-Jun-2018
ISIN	GB00BRB37M78	Agenda	709323822 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1	TO RECEIVE THE REPORT AND ACCOUNTS FOR THE 52 WEEKS ENDED 29 DECEMBER 2017	Management	For	For
2	TO APPROVE THE DIRECTORS' REMUNERATION REPORT FOR THE 52 WEEKS ENDED 29 DECEMBER 2017	Management	For	For
3	TO RE-ELECT PETER HINDLEY AS A DIRECTOR	Management	For	For
4	TO RE-ELECT MIKE MCCOLLUM AS A DIRECTOR	Management	For	For
5	TO RE-ELECT RICHARD PORTMAN AS A DIRECTOR	Management	For	For
6	TO RE-ELECT STEVE WHITTERN AS A DIRECTOR	Management	For	For
7	TO RE-ELECT JANE ASHCROFT AS A DIRECTOR	Management	For	For
8	TO RE-ELECT DAVID BLACKWOOD AS A DIRECTOR	Management	For	For
9	TO RE-ELECT MARY MCNAMARA AS A DIRECTOR	Management	For	For
10	TO RE-APPOINT ERNST & YOUNG LLP AS AUDITORS	Management	For	For
11	TO AUTHORISE THE DIRECTORS TO FIX THE AUDITORS REMUNERATION	Management	For	For
12	TO APPROVE THE PROPOSED DIVIDEND OF 15.74 PENCE PER ORDINARY SHARE	Management	For	For
13	TO GRANT THE DIRECTORS AUTHORITY TO ALLOT RELEVANT SECURITIES UP TO AN AGGREGATE NOMINAL AMOUNT OF GBP 4,112,623 IN CONNECTION WITH A RIGHTS ISSUE AND OTHERWISE UP TO AN AGGREGATE NOMINAL AMOUNT OF GBP 2,056,312 PURSUANT TO SECTION 551 OF THE COMPANIES ACT 2006	Management	For	For
14	TO AUTHORISE THE BOARD TO MAKE POLITICAL DONATIONS OR INCUR POLITICAL EXPENDITURE	Management	For	For
15	TO DISAPPLY THE PRE-EMPTION RIGHTS CONTAINED IN SECTION 561(1) OF THE COMPANIES ACT 2006	Management	For	For
16	TO DISAPPLY PRE-EMPTION RIGHTS IN CONNECTION WITH AN ACQUISITION OR OTHER CAPITAL INVESTMENT	Management	For	For
17	TO AUTHORISE THE COMPANY TO MAKE MARKET PURCHASES OF 5,000,894 ORDINARY SHARES PURSUANT TO SECTION 701 OF THE COMPANIES ACT 2006	Management	For	For
18	TO AUTHORISE THE COMPANY TO HOLD GENERAL MEETINGS (OTHER THAN AGMS) ON 14 CLEAR DAYS' NOTICE	Management	For	For

## Investment Company Report

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
PI3F0600002	PI3F0600002	PGD - CIBC	BNY MELLON	16,500	0	24-Apr-2018	01-Jun-2018